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Make **Compliance**
Your **Strength**

CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE



MyCoID:765264K

HRD Corp claimable is currently available for CCMP - Compliance 1



CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE

Make Compliance Your Strength

Businesses need to prepare for the rapid pace of disruptions and challenges from global headwinds.

Your compliance capabilities must be able to support the increasing complexity and demands of Compliance roles.

Get ahead in developing and transforming your organisation compliance capabilities and make it YOUR competitive advantage.

OVERVIEW OF CCMP - COMPLIANCE

There are 3 levels of Compliance Certifications

1. COMPLIANCE 1

For aspiring compliance professionals and all employees in the capital market. Covers essential compliance concepts, functions, approaches, tools, and skill sets related to capital market laws, principles, and regulatory requirements, technical areas, and general activities of intermediaries.

Essentials of Compliance

- Regulatory & Technical Modules
 - E-Learning
- Assessment (Knowledge Checks)

Compliance & Other Control Functions

- Behavioural Modules
- Assessment (Assignments)

- Collaborative Learning
- Assessment (Facilitator Rating)

Compliance & Business

Summative Assessment

2. COMPLIANCE 2

For compliance professionals with relevant experience looking to further upskill their competencies to be more effective as a compliance officer.

Effective Compliance

- Regulatory & Technical Modules
 - E-Learning
- Assessment (Knowledge Checks)

Compliance & Other Control Functions

- Behavioural Modules
- Assessment (Assignments)

- Collaborative Learning
- Assessment (Facilitator Rating)

Compliance Advisory & Business

Summative Assessment

3. COMPLIANCE ADVOCATE

For experienced compliance professionals to lead, mentor, and network with compliance communities of practice, and discuss compliance issues, scenarios, formulate strategies, and solutions which balance business needs with regulatory requirements and expectations.

Compliance and the Regulator

Roundtable on Compliance

Compliance Culture Advocate

Compliance Culture Advocate Modules

- Collaborative Learning
- Assessment (Facilitator Rating)

CERTIFICATION ENTRY AND COMPLETION REQUIREMENTS

1. COMPLIANCE 1

Entry Requirements *

- Degree / professional qualification from recognised institution; or
- Diploma from recognised institution with a minimum of 3 years relevant capital market experience

Completion Requirements

- Completed and passed CCMP-Compliance 1 learning and assessment

2. COMPLIANCE 2

Entry Requirements

- CCMP-Compliance 1 holder; or
- Registered as Compliance Officer/Head of Compliance. Have at least 2 years working in Compliance for those not registered as Compliance Officers

Completion Requirements

- Completed and passed CCMP-Compliance 2 learning and assessment

3. COMPLIANCE ADVOCATE

Entry Requirements

- CCMP-Compliance 2 holder; or
- Registered as Head of Compliance by SC through SCLE with minimum 5 years relevant capital market experience

Completion Requirements

- Completed and passed CCMP-Compliance Advocate learning and assessment

* Note: For CCMP certifications, you must be at least 21 years old, which is in line with the requirements for a Capital Market Services Representative's Licence. The age requirement does not apply for those pursuing modular learning



CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE 1

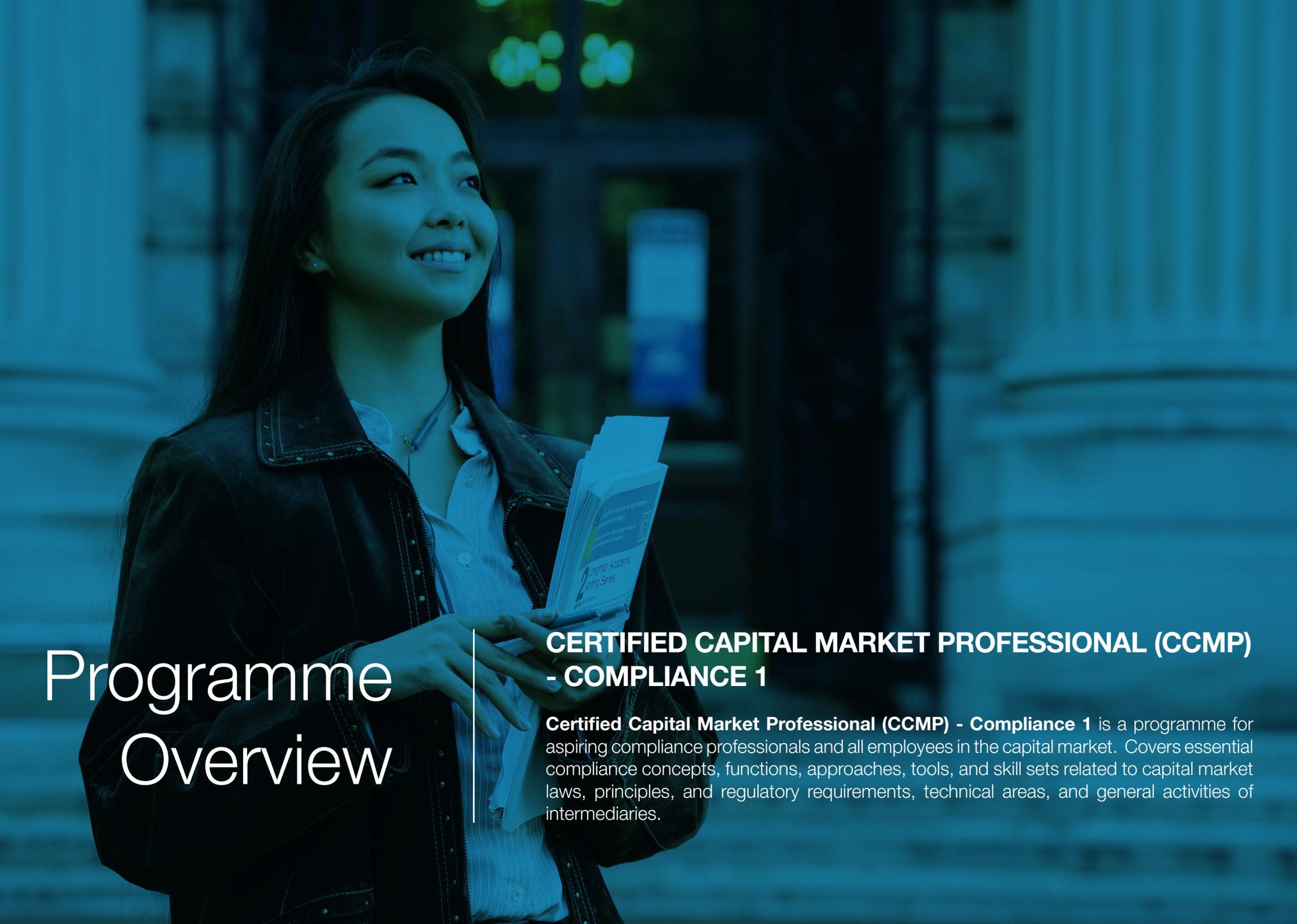
ESSENTIALS OF COMPLIANCE

Benefit from a Purposeful Career
in Compliance

Are you seeking a long-term career with a clear career path and skills which are in high demand?

Do you want to have skill sets and competencies which are transferable across industries?

Embark on a purposeful career in Compliance, the ethical heart of an organisation.



Programme Overview

CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE 1

Certified Capital Market Professional (CCMP) - Compliance 1 is a programme for aspiring compliance professionals and all employees in the capital market. Covers essential compliance concepts, functions, approaches, tools, and skill sets related to capital market laws, principles, and regulatory requirements, technical areas, and general activities of intermediaries.

CERTIFICATION ROADMAP - COMPLIANCE 1

ENROLMENT



E-LEARNING



- 01 | Essentials of Capital Market Regulations: Intermediaries & Conduct
- 02 | Essentials of Capital Market Regulations: AMLA
- 03 | Essentials of Compliance
- 04 | Essentials of Customer Service: Know Your Client
- 05 | Compliance, Corporate Governance Risk and Ethic
- 06 | Introduction to Capital Markets: Economics, Products & Services
- 07 | Securities Trading, Derivatives Dealing and Settlement, and Client Advisory In Malaysia (Part 1, 2 & 3)
- 08 | Fundamentals of Fund Management and Client Advisory in Malaysia

WEBINARS

MODULE 01



Insights into the Compliance Role and Essential Skillsets

MODULE 02



Thinking & Communication Skills for Compliance Officers

MODULE 03



Networking & Relationship Building in Compliance



COLLABORATIVE LEARNING

SUMMATIVE ASSESSMENT

COMPLETE COMPLIANCE 1 CERTIFICATION



ESTIMATED DURATION TO COMPLETE - UP TO 4 MONTHS



CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE 2

EFFECTIVE COMPLIANCE

Enhance Your Compliance Toolbox,
Become an Effective Compliance
Professional

Looking for ways to enhance your
Compliance toolbox and stay ahead
when navigating the increasingly complex
Compliance role?

Want to acquire cutting-edge skills,
be progressive, and an asset to your
organisation?

Develop the skill sets which will help
to accelerate business, and to provide
guidance to business leaders to make
more informed decisions.

A man and a woman are in a meeting room. The man is standing and holding a laptop, while the woman is sitting and looking at the screen. They are both smiling and appear to be in a collaborative discussion. The room has a modern feel with a whiteboard and a table in the background.

Programme Overview

CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE 2

Certified Capital Market Professional (CCMP) - Compliance 2 is designed for compliance professionals with relevant experience looking to further upskill their competencies to be more effective as a compliance officer.

CERTIFICATION ROADMAP - COMPLIANCE 2

ENROLMENT



E-LEARNING



- 01 | Effective Compliance: Regulatory Perspective and Principles (Part 1 & 2)
- 02 | Effective Compliance: AMLA/ Fraud/ Practical CDD
- 03 | Effective Compliance: Compliance in Action (Part 1 & 2)
- 04 | Essentials of Customer Service: Know Your Client
- 05 | Compliance, Corporate Governance, Risk and Ethics (Part 1 & 2)
- 06 | Effective Compliance and the Capital Market
- 07 | Introduction to Islamic Capital Market (Part 1 & 2)
- 08 | Financial Technology (Fintech) and Its Impact to the Capital Market
- 09 | Algorithm Trading & High-Frequency Trading
- 10 | Digital Innovation in the Malaysian Capital Market
- 11 | Cybersecurity Compliance

WEBINARS

MODULE 01



Fundamentals of Stakeholder Management

MODULE 02



The Highly Effective Compliance Officer

MODULE 03



Technical Writing for the Capital Markets



COLLABORATIVE LEARNING



SUMMATIVE ASSESSMENT

COMPLETE COMPLIANCE 2 CERTIFICATION



ESTIMATED DURATION TO COMPLETE - UP TO 4 MONTHS



CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE ADVOCATE

COMPLIANCE CULTURE ADVOCATE

Be a Compliance Leader who
Drives Your Organisation's Growth

Be confident and take the lead in managing your organisational risks better, and enhance your relationships with regulators and stakeholders.

Drive your organisation's purpose and missions and ensures your organisation's actions are consistent with its values.

Expand your network to better formulate strategies based on best practices and proven solutions.



Programme Overview

CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) - COMPLIANCE ADVOCATE

Certified Capital Market Professional (CCMP) - Compliance Advocate is for experienced compliance professionals to lead, mentor, and network with compliance communities of practice, and discuss compliance issues, scenarios, formulate strategies, and solutions which balance business needs with regulatory requirements and expectations.

CERTIFICATION ROADMAP - COMPLIANCE ADVOCATE

ENROLMENT



ROUNDTABLE

Capital Market and The New Normal - Reshaping The Future of Compliance



Reshaping Talents and the Evolution of Compliance Culture

Accelerating Digitalisation for Business Innovation

WEBINARS



Business Sustainability and the Future of Compliance

Compliance Management Advisory and Advocacy

Governance, Risk Oversight and Compliance in the Capital Market

Strengthening the Capital Market through RegTech and SupTech

COLLABORATIVE LEARNING

COMPLETE COMPLIANCE ADVOCATE CERTIFICATION



For enquiries on registration, please contact: **+603 6204 8439 / 8274**

Register today at **www.sidc.com.my**

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**The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.*

